



INDEPENDENT COMMISSION
AGAINST CORRUPTION
NEW SOUTH WALES

Independent Commission Against Corruption Act 1988 (Section 22)

NOTICE TO ATTEND AND PRODUCE DOCUMENTS

Patricia Siu

You are required to attend and produce:

- to Phil Vickery, Investigator of the Independent Commission Against Corruption ("ICAC");
- at Patricia Siu's residence;
- forthwith upon the attendance of Investigator Vickery at Patricia Siu's residence

the document(s) and/or other thing(s) described in the Schedule to this Notice.

You are required to produce the document(s) and/or other thing(s) for the purposes of an investigation the ICAC is conducting.

This requirement may be satisfied by some other person(s) acting on your behalf.

FAILURE TO COMPLY

It is an offence under s.83 of the ICAC Act without reasonable excuse to refuse or fail to comply with this Notice.

Please note:

- (a) To ensure the ICAC is able to correctly reference the document(s) and/or thing(s) you provide in response to this Notice, please **complete and include the attached Property Delivery Advice form** with the document(s) and/or thing(s) required to be produced by this notice. If you wish to change your property disposal/return instructions, address and

NSW ICAC EXHIBIT

contact information provided on the Property Delivery Advice form, you will need to advise the ICAC by contacting Property Services on (02) 8281 5999 or via email at property@icac.nsw.gov.au

- (b) If the document(s) and/or other thing(s) required to be produced by this notice tends to incriminate you, **and you object to production at the time**, neither the fact of the requirement nor the document(s) and/or other thing(s) itself (if produced) may be used in any proceedings against you (except proceedings for an offence against the *Independent Commission Against Corruption Act 1988* and certain disciplinary proceedings as provided for by section 114A). The document(s) and/or other thing(s) can be used for the purposes of the investigation to which it relates.
- (c) The ICAC shall set aside the requirement if it appears to the ICAC that any person subject to the requirement has a ground of privilege whereby, in proceedings in a court of law, the person might resist a like requirement and it does not appear to the ICAC that the person consents to compliance with the requirement.
- (d) The person must however comply with the requirement despite:
 - (i) any rule which in proceedings in a court of law might justify an objection to compliance with a like requirement on grounds of public interest, or
 - (ii) any privilege of a public authority or public official in that capacity which the authority or official could have claimed in a court of law, or
 - (iii) any duty of secrecy or other restriction on disclosure applying to a public authority or public official.

If you have any questions about this notice please telephone Phil Vickery on (02) 8281 5999.

Date: 20th September 2019


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The Hon Peter Hall QC
Chief Commissioner

SCHEDULE

The following documents:

1. hardcopy of the schedule to the s110(1)(c) notice from NSW Electoral Commission to Patricia Sui dated 4 May 2017 with questions from NSWEC and bearing handwritten suggested answers to those questions.
2. the pink folder^{MS} referred to by you in your telephone conversation conducted at the Commission's premises on 20 September 2019 shortly after the morning tea adjournment.

Date: 20th September 2019


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The Hon Peter Hall QC
Chief Commissioner

OFFICE USE ONLY	
This page and the preceding <u>3</u> pages is a true copy of the notice that was served:	
by: <u>Philip Vickery</u> (full name of serving officer)	
at: <u>4.18</u> am/pm	
on: <u>Patricia Siu</u> (subject individual)	
at/by: <u>Personally by Phil Vickery</u> (place/method of service)	
The following documents were also provided at the time of service/execution:	
<input checked="" type="checkbox"/> Property Delivery Advice form	
<input type="checkbox"/> other (specify)	
<u>Phil Vickery</u> (signature of serving officer)	<u>23/9/19</u> (date of endorsement)

PROPERTY DELIVERY ADVICE

To: Senior Property Officer
Property Services
Independent Commission Against Corruption
Level 7/255 Elizabeth Street
SYDNEY NSW 2000

Please complete and include this
Property Delivery Advice with the
document(s) and/or thing(s) you are
required to produce by this notice

Email: property@icac.nsw.gov.au

The enclosed document(s) and/or thing(s) ("the property") are produced by me in compliance with a notice to produce documents, Ref: E 18 / 0093 /AS-02-069.

(Please select one of the following options)

- ☐ I require the ICAC to provide me with a receipt for the property produced.
- ☐ I do not require the ICAC to provide me with a receipt for the property produced.

Disposal/Return Instructions

If the property produced by me is no longer required by the ICAC for the purpose of an investigation to which it is relevant, including a proceeding arising from such an investigation: (please select one of the following options)

- ☐ I authorise the ICAC to destroy all of the property without providing further notice to me.
- ☐ I require the ICAC to return the property to me by arranging it to be delivered to the address mentioned below.
- ☐ (indicate here alternative disposal/return instructions below)

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Property contact information

Address for return of property:

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Email:@.....

Telephone: (.....)

Other:

If I wish to change my property disposal/return instructions, address and contact information, I will advise the ICAC by contacting Property Services on (02) 8281 5999 or via email at property@icac.nsw.gov.au.

.....
(sign above)

.....
(date)

.....
(print name)

**THIS IS SCHEDULE REFERRED TO IN THE NOTICE BEARING NSW
ELECTORAL COMMISSION BARCODE EC0002047**

Section 110A(1)(c) Answer Questions

You are required to answer the following questions:

- ✓ 1. As previously stated in this notice, you provided your Group Certificate – year ended 30 June 2015. Can you tell me if this was your only source of income for that period of time? **NO**
- ✓ 2. How much money did you earn in other employment for the year ending June 2015? **N/A**
- ✓ 3. Is HSBC your only bank account? **YES**
4. Did you receive any assistance to write, or word the explanation letter that accompanied your response to the notice issued on 14 September 2016? **Yes**
5. When did you decide to donate to the Labor Party, and Country Labor? **when I was a in Feb 20**
- ✓ 6. Your letter of explanation states you saved the money you donated to the Australian Labor Party, NSW and the Country Labor Party. How long did it take to save the total sum of \$10,000 to donate? **over half a year**
7. Other than family members, and tips as stated by you, did anyone else give you money to donate to the Australian Labor Party, NSW and the Country Labor Party? If yes, who and how much? **NO**
8. Why did you withdraw the \$5,000 cash in September 2014? **initial for travels. I didn't use it.**
9. Where did you keep the \$5,000 cash withdrawn from your account? **at home.**
10. What is 'lucky money' from Chinese New Year? **a cultural custom**
11. How much cash saving do you have at the present time? **a few thousand dollars**
12. Are you a member of the Australian Labor Party, NSW or the Country Labor Party? **NO**
13. Did you attend a Chinese Community Dinner at the Eight Modern Chinese Restaurant? **NO**
14. How did you pay the donation? Eg: cash, cheque, money transfer etc; **Cash**
15. What was the denomination of the donation? Eg: hundred dollar bills, fifty dollar bills etc. **\$100**
16. Who did you hand the donation to? **Jonathan Yee**
17. Have you previously donated to a political party? **Yes**
18. Were you asked to donate on this occasion? If yes; Who asked you to donate to the Australian Labor Party, NSW and the Country Labor Party?

Yes, Jonathan Yee³

representing a gift at wedding or holidays such as CNY. It symbolizes good luck and to ward off evil spirits.



